

Amendments to the Claims:

Please cancel claims 92, 104, 117, 129, 141, and 153 without prejudice.

This listing of claims will replace all prior versions and/or listings of claims in the application:

Listing of Claims

1-80. (cancelled)

81. (currently amended): A method for allowing relatively rapid entry of securities orders into a computer system, the method comprising:

receiving input from a user, the input comprising at least one generic security order preference for the user for securities for which a security-specific order preference has not been defined;

storing the at least one generic security order preference in a memory; and

allowing the user to specify at least one security-specific order preference for the user for at least one security;

processing a plurality of orders placed by the user, wherein processing each of the plurality of orders comprises:

presenting an order placement window to the user;

prompting the user to enter an identifier for the security to be traded;

automatically presenting in the order placement window at least one order

preference previously defined by the user, wherein if no security-specific order preferences have been previously defined by the user for the security

to be traded, the order preferences presented comprise the at least one generic security order preference;
providing one or more user interface elements in the order placement window,
wherein the one or more interface elements allow the user to adjust one or more of the values presented in the order placement window; and
submitting the order for execution, wherein submitting the order comprises:
for values that are adjusted by the user, using the adjusted values for executing the order;
for values that are not adjusted by the user and for which security-specific order preferences have been specified for the security to be traded,
automatically using the security-specific order preferences as default values for executing the order; and
for values that are not adjusted by the user and for which security-specific order preferences have not been specified for the security to be traded,
automatically using the at least one stored generic security order preference as a default values for executing the order in response to a user placing an order for at least one security for which a security-specific order preference has not been defined.

82. (currently amended): The method of claim 81, wherein receiving input from a user comprising at least one generic security order preference comprises presenting a generic security order preferences window to the user, wherein the generic security order preferences window comprises one or more user interface elements that allow the user to specify at least one generic security order preference for securities for which a security-specific order preference has not been defined.
83. (previously presented): The method of claim 81, wherein at least one generic security order preference comprises a number of shares to be used as a default if no security-specific default number of shares is defined for a security.

84. (previously presented): The method of claim 81, wherein at least one generic security order preference comprises a dollar amount of a transaction to be used as a default if no security-specific default dollar amount is defined for a security.
85. (previously presented): The method claim 81, wherein at least one generic security order preference comprises a limit price to be used as a default if no security-specific default limit price is defined for a security.
86. (previously presented): The method of claim 81, wherein at least one generic security order preference comprises a trailing stop price to be used as a default if no security-specific default trailing stop price is defined for a security.
87. (previously presented): The method of claim 81, wherein at least one generic security order preference comprises a stop loss price to be used as a default if no security-specific default stop loss price is defined for a security.
88. (previously presented): The method of claim 81, wherein at least one generic security order preference comprises a lot indicator to be used as a default if no security-specific default lot indicator is defined for a security.
89. (previously presented): The method of claim 81, wherein at least one generic security order preference comprises a limit price indicator to be used as a default if no security-specific default limit price indicator is defined for a security.
90. (currently amended): The method of claim 81, ~~further comprising:~~

~~presenting an order placement window to the user;~~

wherein prompting the user to enter an identifier for the security to be traded comprises prompting the user to enter a security symbol of a the security to be traded;

~~presenting order preferences received from the user in the order preferences window as default values, wherein if no security specific order preferences have been received from the user for the security to be traded, then the order preferences presented comprise at least one generic order preference.~~

91. (previously presented): The method of claim 90, further comprising receiving input from the user modifying at least one of the default values to a desired value.

92. (cancelled)

93. (previously presented): The method of claim 90, further comprising sending an acknowledgement to the user upon execution of the order.

94. (previously presented): The method of claim 81, wherein receiving input comprising at least one generic security order preference comprises providing a web page to the user, wherein the web page comprises controls that allow the user to enter at least one generic security order preference.

95. (currently amended): The method of claim 81, ~~further comprising wherein allowing the user to specify at least one security-specific order preference for the user for at least one security comprises:~~

receiving input from the user comprising at least one security-specific order preference for at least one security; and

storing the at least one security-specific order preference in a memory; ~~and~~

~~automatically using at least one stored security specific order as a default value in response to the user placing an order for at least one security for which at least one security specific order preference has been stored.~~

96. (previously presented): The method of claim 95, wherein receiving input comprising at least one security-specific order preference for at least one security comprises presenting a security-specific order preferences window to the user, wherein the security-specific order preferences window comprises one or more user interface elements that allow the user to specify at least one security-specific order preference for at least one security.
97. (previously presented): The method of claim 95, wherein at least one security-specific order preference for at least one security comprises a default number of shares of at least one security to be traded during a transaction.
98. (previously presented): The method of claim 95, wherein at least one security-specific order preference for at least one security comprises a default dollar amount of at least one security to be traded during a transaction.
99. (previously presented): The method of claim 95, wherein at least one security-specific order preference for at least one security comprises a default limit price for at least one security.
100. (previously presented): The method of claim 95, wherein at least one security-specific order preference for at least one security comprises a default trailing stop price for at least one security.
101. (previously presented): The method of claim 95, wherein at least one security-specific order preference for at least one security comprises a default stop loss price for at least one security.

102. (previously presented): The method of claim 95, wherein at least one security-specific order preference for at least one security comprises a default lot indicator to be used for transactions of at least one security.

103. (previously presented): The method of claim 95, wherein at least one security-specific order preference for at least one security comprises a default limit price indicator for at least one security.

104. (cancelled)

105. (currently amended): A system comprising:

at least one computer system coupled to a network;

at least one memory couple to the computer system;

wherein at least one memory comprises program instructions, wherein the program instructions are executable by at least one computer system to:

receive input from a user, the input comprising at least one generic security order preference for the user for securities for which a security-specific order preference has not been defined;

store the at least one generic security order preference in at least one memory; ~~and~~

allow the user to specify at least one security-specific order preference for the user for at least one security;

process a plurality of orders placed by the user, wherein for each of the plurality of
orders the program instructions are further executable:
present an order placement window to the user;
prompt the user to enter an identifier for the security to be traded;
automatically present in the order placement window at least one order
preference previously defined by the user, wherein if no security-
specific order preferences have been previously defined by the user
for the security to be traded, the order preferences presented
comprise the at least one generic security order preference;
provide one or more user interface elements in the order placement
window, wherein the one or more interface elements allow the user
to adjust one or more of the values presented in the order
placement window; and
submit the order for execution, wherein submitting the order comprises:
for values that are adjusted by the user, using the adjusted values
for executing the order;
for values that are not adjusted by the user and for which security-
specific order preferences have been specified for the
security to be traded, automatically using the security-
specific order preferences as default values for executing
the order; and
for values that are not adjusted by the user and for which security-
specific order preferences have not been specified for the
security to be traded, automatically using the at least one
stored generic security order preference as a default values
for executing the order in response to a user placing an
order for at least one security for which a security-specific
order preference has not been defined.

106. (currently amended): The system of claim 105, wherein receiving input from a user comprising at least one generic security order preference comprises presenting a generic security order preferences window to the user, wherein the generic security order preferences window comprises one or more user interface elements that allow the user to specify at least one generic security order preference for securities for which a security-specific order preference has not been defined.
107. (previously presented): The system of claim 105, wherein at least one generic security order preference comprises a number of shares to be used as a default if no security-specific default number of shares is defined for a security.
108. (previously presented): The system of claim 105, wherein at least one generic security order preference comprises a dollar amount of a transaction to be used as a default if no security-specific default dollar amount is defined for a security.
109. (previously presented): The system of claim 105, wherein at least one generic security order preference comprises a limit price to be used as a default if no security-specific default limit price is defined for a security.
110. (previously presented): The system of claim 105, wherein at least one generic security order preference comprises a trailing stop price to be used as a default if no security-specific default trailing stop price is defined for a security.
111. (previously presented): The system of claim 105, wherein at least one generic security order preference comprises a stop loss price to be used as a default if no security-specific default stop loss price is defined for a security.
112. (previously presented): The system of claim 105, wherein at least one generic security order preference comprises a lot indicator to be used as a default if no security-specific default lot indicator is defined for a security.

113. (previously presented): The system of claim 105, wherein at least one generic security order preference comprises a limit price indicator to be used as a default if no security-specific default limit price indicator is defined for a security.

114. (previously presented): The system of claim 105, wherein the computer network comprises the Internet.

115. (currently amended): The system of claim 105, ~~wherein the program instructions are further executable to:~~

~~present an order placement window to the user;~~

wherein prompting the user to enter an identifier for the security to be traded comprises
prompt the user to enter a security symbol of a the security to be traded.;

~~present order preferences received from the user in the order preferences window as default values, wherein if no security-specific order preferences have been received from the user for the security to be traded, then the order preferences presented comprises at least one generic order preference.~~

116. (previously presented): The system of claim 115, wherein the program instructions are further executable to receive input from the user modifying at least one of the default values to a desired value.

117. (cancelled)

118. (previously presented): The system of claim 115, wherein the program instructions are further executable to send an acknowledgement to the user upon execution of the order.

119. (previously presented): The system of claim 105, wherein receiving input comprising at least one generic security order preference comprises providing a web page to the user, wherein the web page comprises controls that allow the user to enter at least one generic security order preference.

120. (currently amended): The system of claim 105, wherein the program instructions to allow the user to specify at least one security-specific order preference for the user for at least one security are further executable to:

receive input comprising at least one security-specific order preference for at least one security; and

store the at least one security-specific order preference in at least one memory; ~~and~~

~~automatically use at least one security-specific order as a default value in response to the user placing an order for at least one security for which at least one security-specific order preference has been stored.~~

121. (previously presented): The system of claim 120, wherein receiving input comprising at least one security-specific order preference for at least one security comprises presenting a security-specific order preferences window to the user, wherein the security-specific order preferences window comprises one or more user interface elements that allow the user to specify at least one security-specific order preference for at least one security.

122. (previously presented): The system of claim 120, wherein at least one security-specific order preference for at least one security comprises a default number of shares of at least one security to be traded during a transaction.

123. (previously presented): The system of claim 120, wherein at least one security-specific order preference for at least one security comprises a default dollar amount of at least one security to be traded during a transaction.
124. (previously presented): The system of claim 120, wherein at least one security-specific order preference for at least one security comprises a default limit price for at least one security.
125. (previously presented): The system of claim 120, wherein at least one security-specific order preference for at least one security comprises a default trailing stop price for at least one security.
126. (previously presented): The system of claim 120, wherein at least one security-specific order preference for at least one security comprises a default stop loss price for at least one security.
127. (previously presented): The system of claim 120, wherein at least one security-specific order preference for at least one security comprises a default lot indicator to be used for transactions of at least one security.
128. (previously presented): The system of claim 120, wherein at least one security-specific order preference for at least one security comprises a default limit price indicator for at least one security.
129. (cancelled)
130. (currently amended): A carrier medium comprising program instructions, wherein the program instructions are executable to implement:

receiving input from a user, the input comprising at least one generic security order preference;

storing the at least one generic security order preference in a memory; and

allowing the user to specify at least one security-specific order preference for the user for at least one security;

processing a plurality of orders placed by the user, wherein processing each of the plurality of orders comprises:

presenting an order placement window to the user;

prompting the user to enter an identifier for the security to be traded;

automatically presenting in the order placement window at least one order

preference previously defined by the user, wherein if no security-specific order preferences have been previously defined by the user for the security to be traded, the order preferences presented comprise the at least one generic security order preference;

providing one or more user interface elements in the order placement window, wherein the one or more interface elements allow the user to adjust one or more of the values presented in the order placement window; and

submitting the order for execution, wherein submitting the order comprises:

for values that are adjusted by the user, using the adjusted values for executing the order;

for values that are not adjusted by the user and for which security-specific order preferences have been specified for the security to be traded, automatically using the security-specific order preferences as default values for executing the order; and

for values that are not adjusted by the user and for which security-specific order preferences have not been specified for the security to be traded, automatically using the at least one stored generic security

order preference as a default values for executing the order in
~~response to a user placing an order for at least one security for~~
~~which a security specific order preference has not been defined.~~

131. (currently amended): The carrier medium of claim 130, wherein receiving input from a user comprising at least one generic security order preference comprises presenting a generic security order preferences window to the user, wherein the generic security order preferences window comprises one or more user interface elements that allow the user to specify at least one generic security order preference for securities for which a security-specific order preference has not been defined.
132. (previously presented): The carrier medium of claim 130, wherein at least one generic security order preference comprises a number of shares to be used as a default if no security-specific default number of shares is defined for a security.
133. (previously presented): The carrier medium of claim 130, wherein at least one generic security order preference comprises a dollar amount of a transaction to be used as a default if no security-specific default dollar amount is defined for a security.
134. (previously presented): The carrier medium of claim 130, wherein at least one generic security order preference comprises a limit price to be used as a default if no security-specific default limit price is defined for a security.
135. (previously presented): The carrier medium of claim 130, wherein at least one generic security order preference comprises a trailing stop price to be used as a default if no security-specific default trailing stop price is defined for a security.
136. (previously presented): The carrier medium of claim 130, wherein at least one generic security order preference comprises a stop loss price to be used as a default if no security-specific default stop loss price is defined for a security.

137. (previously presented): The carrier medium of claim 130, wherein at least one generic security order preference comprises a lot indicator to be used as a default if no security-specific default lot indicator is defined for a security.
138. (previously presented): The carrier medium of claim 130, wherein at least one generic security order preference comprises a limit price indicator to be used as a default if no security-specific default limit price indicator is defined for a security.
139. (currently amended): The carrier medium of claim 130, ~~wherein the program instructions are further executable to implement:~~
- ~~—presenting an order placement window to the user;~~
- wherein prompting the user to enter an identifier for the security to be traded comprises prompting the user to enter a security symbol of a security to be traded;
- ~~—presenting order preferences received from the user in the order~~
- ~~preferences window as default values, wherein if no security-specific order preferences have been received from the user for the security to be traded, then the order preferences presented comprises at least one generic order preference.~~
140. (previously presented): The carrier medium of claim 139, wherein the program instructions are further executable to implement receiving input from the user modifying at least one of the default values to a desired value.
141. (cancelled)

142. (previously presented): The carrier medium of claim 139, wherein the program instructions are further executable to implement sending an acknowledgement to the user upon execution of the order.
143. (previously presented): The carrier medium of claim 130, wherein receiving input comprising at least one generic security order preference comprises providing a web page to the user, wherein the web page comprises controls that allow the user to enter at least one generic security order preference.
144. (currently amended): The carrier medium of claim 130, wherein the program instructions for allowing the user to specify at least one security-specific order preference for the user for at least one security are further executable to implement:
- receiving input from the user comprising at least one security-specific order preference for at least one security; and
- storing the at least one security-specific order preference in a memory; ~~and~~
- ~~automatically using at least one stored security specific order as a default value in response to the user placing an order for at least one security for which at least one security specific order preference has been stored.~~
145. (previously presented): The carrier medium of claim 144, wherein receiving input comprising at least one security-specific order preference for at least one security comprises presenting a security-specific order preferences window to the user, wherein the security-specific order preferences window comprises one or more user interface elements that allow the user to specify at least one security-specific order preference for at least one security.

146. (previously presented): The carrier medium of claim 144, wherein at least one security-specific order preference for at least one security comprises a default number of shares of at least one security to be traded during a transaction.
147. (previously presented): The carrier medium of claim 144, wherein at least one security-specific order preference for at least one security comprises a default dollar amount of at least one security to be traded during a transaction.
148. (previously presented): The carrier medium of claim 144, wherein at least one security-specific order preference for at least one security comprises a default limit price for at least one security.
149. (previously presented): The carrier medium of claim 144, wherein at least one security-specific order preference for at least one security comprises a default trailing stop price for at least one security.
150. (previously presented): The carrier medium of claim 144, wherein at least one security-specific order preference for at least one security comprises a default stop loss price for at least one security.
151. (previously presented): The carrier medium of claim 144, wherein at least one security-specific order preference for at least one security comprises a default lot indicator to be used for transactions of at least one security.
152. (previously presented): The carrier medium of claim 144, wherein at least one security-specific order preference for at least one security comprises a default limit price indicator for at least one security.
- 153-159. (cancelled)